

Looking Beyond the Numbers: Bibliometric Approach to Analysis of LIS Research in Korea

Kiduk Yang (양기덕)*

Jongwook Lee (이종욱)**

Wonchan Choi (최원찬)***

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ABSTRACT

Bibliometric analysis for research performance evaluation can generate erroneous assessments for various reasons. Application of the same evaluation metric to different domains, for instance, can produce unfair evaluation results, while analysis based on incomplete data can lead to incorrect conclusions. This study examines bibliometric data of library and information science (LIS) research in Korea to investigate whether research performance should be evaluated in a uniform manner in multi-disciplinary fields such as LIS and how data incompleteness can affect the bibliometric assessment outcomes. The initial analysis of our study data, which consisted of 4,350 citations to 1,986 domestic papers published between 2001 and 2010 by 163 LIS faculty members in Korea, showed an anomalous citation pattern caused by data incompleteness, which was addressed via data projection based on past citation trends. The subsequent analysis of augmented study data revealed ample evidence of bibliometric pattern differences across subject areas. In addition to highlighting the need for a subject-specific assessment of research performance, the study demonstrated the importance of rigorous analysis and careful interpretation of bibliometric data by identifying and compensating for deficiencies in the data source, examining per capita as well as overall statistics, and considering various facets of research in order to interpret what the numbers reflect rather than merely taking them at face value as quantitative measures of research performance.

Keywords: Bibliometrics, Subject-specific Analysis, Data Completeness, Publication Count, Citation Count, Library and Information Science

* Professor, Department of Library and Information Science, Kyungpook National University, Daegu, Korea(kiyang@knu.ac.kr) (First Author & Corresponding Author)

** Doctoral Candidate, School of Information, Florida State University, Tallahassee, FL, USA (nadoopro@gmail.com)

*** Postdoctoral Fellow, School of Business, Worcester Polytechnic Institute, Worcester, MA, USA (wchoi@wpi.edu)

논문접수일자: 2015년 10월 22일 최초심사일자: 2015년 10월 22일 게재확정일자: 2015년 11월 3일
한국문헌정보학회지, 49(4): 241-264, 2015. (<http://dx.doi.org/10.4275/KSLIS.2015.49.4.241>)

1. Introduction

Bibliometric analysis for research performance evaluation can generate erroneous assessments for various reasons. As is true with any type of evaluation, the outcome of research evaluation can vary widely depending on the methods used (e.g., qualitative, quantitative), point of view (e.g., objective, subjective), evaluation criteria (e.g., productivity, impact), and sources of evidence (e.g., publication, citation). Consequently, the robust evaluation of research performance requires the proper interpretation of assessment outcomes as well as a rigorous examination of evaluation metrics. Evaluation errors can stem just as easily from misapplication of evaluation metrics as from faulty or incomplete evaluation data (Cronin 2005; Meho and Yang 2007; Yang and Lee 2012; 2013). One of the common yet obscure evaluation errors occurs when the same evaluation metric is applied to different domains. The problem becomes even more obscure when the research to evaluate falls in the domain that contains multiple subject areas with distinct characteristics.

Our study is motivated by the question of robustness, namely, whether research performance should be evaluated in a uniform manner across multi-disciplinary fields such as LIS (Saracevic 1999; Wu et al. 2012) and how data incompleteness can affect the bibliometric assessment outcomes. Although prior studies have used citations to analyze the LIS research as a whole (Li et al. 2010; Norris and Oppenheim 2010), a multidisciplinary field such as LIS may possess enough differences in publication and citation patterns across subject areas, which warrants a subject-specific analysis. In such cases, application of the same evaluation metric across subject areas can produce unfair evaluation results. Furthermore, analysis based on incomplete data can produce invalid inferences leading to incorrect conclusions. Thus, the aim of the study is to highlight the importance of rigorous analysis and careful interpretation of bibliometric data by identifying and compensating for deficiencies in the data source, examining per capita as well as overall statistics, and considering various facets of research to interpret what the numbers reflect rather than merely taking them at face value as quantitative measures of research performance.

2. Prior Research

Bibliometrics has remained popular among researchers in evaluating scholarly work. For example, Adkins and Budd (2006) measured the research effectiveness of faculty by analyzing publication and citation data in *Social Science Citation Index (SSCI)* from 1999 to 2004. The authors ranked

the 20 most productive faculty members and programs by publication and citation counts, and provided a cumulative ranking for LIS programs based on publication and citation counts of programs, thus demonstrating the applicability of bibliometric indicators to the institution level as well as the researcher-level. Shaw and Vaughan (2008) analyzed the 2,086 publications of a randomly selected 90 LIS faculty members in order to characterize the academic life of typical LIS faculty. Specifically, the study examined the publications and citations of faculty members by faculty rank, types of publications, and types of schools. The key findings were: the publication counts correlated with faculty rank; the weight on conference papers decreased as faculty members were promoted; faculty members employed in institutions granting doctoral degrees were more productive than those in institutions offering a master's degree or a joint doctoral degree; and full professors were cited more frequently than assistant or associate professors.

With respect to LIS research in South Korea, Yang and Lee (2012) analyzed 2,401 publications of Korean LIS faculty. In the study, the authors identified author characteristics, analyzed publication data by year, author, affiliation, and journal, and examined the research productivity based on various facets of publication data to highlight the increasing trend of collaboration, publications, and internationalization in the LIS field in Korea. In a follow-up study, Yang and Lee (2013) compared the LIS faculty rankings by publications, citations, and *h*- and *g*-index to show that while publication counts correlate with citation counts for productive authors, there is no correlation between publication and citation counts of authors with the small number of publications.

Some prior studies have pointed out different citation patterns across disciplines or sub-disciplines; for example, Vieira and Gomes (2010) and Slyder et al. (2011) found different citation rates across disciplines. Specifically, Vieira and Gomes (2010) reported that while the discipline of Biology & Biochemistry was the most highly cited, Mathematics was the most poorly cited. Slyder et al. (2011) also showed different citation patterns between forestry and geography. In the LIS discipline, Meho and Yang (2007), who compared the coverage of WoS, Scopus and Google Scholar by analyzing citations to more than 1,400 works by 25 LIS faculty, found marked differences in citation counts across research areas. In addition, Blessinger and Hrycaj (2010) examined the subject areas of 32 highly cited LIS articles using five categories of *Library Operations*, *Library/Information Science Profession*, *Publishing/Publishing Studies*, *Research in Librarianship/Users*, and *Technology*, and they found that the most highly cited papers were related to the area of *Research in Librarianship/Users*. Lee and Choi (2013) explored differences in publication and citation counts by subject areas even within LIS and found that the papers addressing bibliographic topics were less cited compared to the papers on foundations of LIS, management and administration, library and information

services, and information science.

3. Methodology

3.1 Study Data

The study data consisted of 4,350 citations to 1,986 domestic papers published by 165 tenure-track LIS faculty in Korea.¹⁾ International papers were excluded in order to filter out atypical citation patterns.²⁾ The bibliographic data for new faculty members were gathered from the Korea Citation Index (KCI: <http://www.kci.go.kr>) managed by National Research Foundation (NRF) of Korea, and citation data for all faculty members were updated using KCI and NAVER.³⁾

3.2 Data Coding and Analysis

Two of the authors manually assigned a subject category to each of the publications under examination based on Park and Song's (2013) classification scheme, which extended Oh's (2005) scheme to synthesize both international and Korean subject classification schemes for categorizing Korean LIS research. Park and Song's scheme enumerates 10 key subject areas of *Foundations of LIS, Library Building & Facilities, Library Structure, Management and Administration, Library & Information Services, Information Organization, Information Science, Bibliographic Studies, Publishing, and Record Management*. The inter-coder reliability was Kappa=0.813 with $p<.001$, achieving the "almost perfectly agreed" reliability based on the rules of thumb by Landis and Koch (1977). Disagreements in the subject classification were resolved through discussions, and the reconciled result was used for the data analysis.

Before conducting trend and subject-specific analyses for the publication, citation, and author counts, we addressed the issue of data incompleteness via data projection based on past citation

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- 1) In the study dataset, which updated Yang and Lee's (2012) dataset with additional publication and citation data collected through May 2013, the number of faculty members increased from 159 to 165 due to 17 new faculty hires and 11 faculty retirements. Non-tenure track (e.g., lecturers) faculty members were excluded since they tend to publish sparsely and are likely to be data outliers.
 - 2) A prior study by Lee and Yang (2011) found different citation patterns between domestic and international papers authored by Korean LIS faculty members.
 - 3) KCI was searched initially to collect the publication data for each faculty member, after which Naver Scholar (<http://academic.naver.com/>) was queried for validation and identification of additional data.

trends. Then, we cross-tabulated the data by year and subject area to examine subject-specific trends. We also examined the data using two five-year time frames (i.e., 2001-2005 and 2006-2010) to better understand the changing patterns of research productivity (publication count), impact (citation count), and popularity (author count).

4. Findings

4.1 Temporal Trends in Publication, Citation, and Author Counts

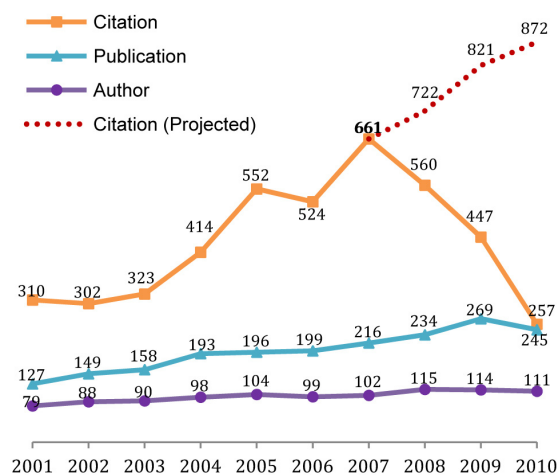
We first examined the numbers of publications, citations, and authors to ascertain the overall pattern of Korean LIS research. The initial findings regarding this analysis have been reported in our prior studies (Lee and Choi 2013; Yang et al. submitted). The study data consisted of 4,350 citations to 1,986 domestic papers published by 163 authors.⁴⁾ Figure 1, which plots the numbers of publications, citations, and authors (i.e., number of faculty members who had authored or co-authored at least one paper) by year, displays temporal changes in productivity and impact of domestic KLIS research. At first glance, the number of publications and the number of authors, each with a generally increasing pattern, suggest an increasing trend in research productivity, while the number of citations with a spike in the mid-period conveys a research impact pattern incongruent with productivity.

Decreasing citation counts in spite of increasing number of publications can reflect a decline in publication quality or change in citation patterns. Before jumping to conjectures about why such anomalies may occur, we thought it prudent to examine the data more carefully. When citation counts are broken down by the year in which they originate (Figure 2), two citation patterns emerge: most citations (87%) occur after 2007,⁵⁾ and most publications have the citation span of at least four years. However, Figure 2 shows publications in 2008 through 2010 to contain less than four years' worth of citations, most likely due to the incomplete status of data source at the time of data collection. In fact, over 2.7 million references, most of which were in 2012, were added to

4) Before restricting the study data to domestic papers published by active tenure-track faculty, the overall data consisted of 5,709 citations to 2,232 unique papers published from 2001 to 2010 by 176 LIS faculty members. Two of 165 active faculty members did not publish any articles in domestic journals at the time of data collection.

5) Since citing papers published in later years will have more papers to cite, annual citation counts of later citing years will tend to be higher than earlier citing years. However, the drastic increase in citations starting in citing year 2008 (from 144 in 2007 to 648 in 2008, 962 in 2009, 999 in 2010, and 1168 in 2011) in the study data appears to be a change in citation pattern rather than the artifact of the timeframe.

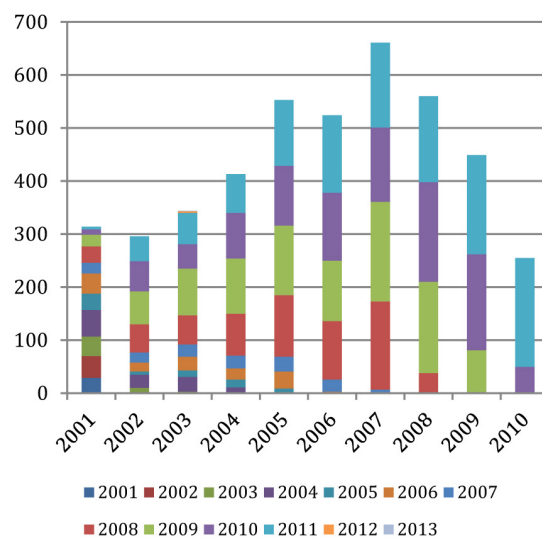
the KCI database since the harvest of study data (Table 1). The dotted line in Figure 1 shows a projected citation counts based on the citation distribution of prior year data, which consist of about even numbers of citations over the four-year citation span. To be on the conservative side, we projected the missing years' worth of citations by using the citation count of the prior year. The projected citation count of 722 for year 2008 is arrived at by adding 162 citations (2011 count) for 2012, the projected count of 821 for year 2009 is computed by adding 187 citations (2011 count) for each of 2012 and 2013, and 872 for 2010 by adding 205 citations three times to estimate the missing citation counts for the citing years 2012, 2013, and 2014. We counted the number of 2012 papers that cite 2008 articles in the 2014 KCI database to test the validity of the projected citation counts, and found it to be very close to the projected number (163 actual vs. 162 projected).



〈Figure 1〉 Publication, citation & author counts by year

Careful examination of data as well as rigorous approach to analysis is vital to avoiding the common pitfall of quantitative analysis, which is arriving at erroneous conclusions from incomplete data or invalid interpretation of data. The general decrease in citation count after 2007 without the consideration of citation latency effect, for instance, may be mistakenly interpreted as a reflection of diminishing research impact, when the real culprits are the 4-year citation span revealed in citing year breakdown (Figure 2) and the 2-year lag in reference data inclusion by KCI (Table 1). The comparison of KCI data status between 2013 and 2014 in Table 1 exposes further weaknesses of KCI as a data source. Reduction of 4,786 authors for the pre-2009 period in 2014 KCI database implies duplicate counting or authority control problems in previous years, and

addition of 2,734,101 references between 2007 and 2012 in contrast to only 180 new papers for the same period suggests an incomplete data parsing practice that results in a de-facto reference data inclusion lag of several years.



<Figure 2> Annual citations by citing year

<Table 1> KCI data status: 2013 vs. 2014*

	Papers			Authors			References		
	2013	2014	Δ	2013	2014	Δ	2013	2014	Δ
~2003	114,841	114,831	-10	306,324	305,661	-663	2,124	2,123	-1
2004	62,086	62,118	32	167,681	167,005	-676	1,003,426	1,003,425	-1
2005	66,488	66,505	17	179,743	178,899	-844	1,168,747	1,168,724	-23
2006	71,657	71,656	-1	195,836	194,783	-1,053	1,177,498	1,177,440	-58
2007	78,268	78,271	3	210,285	208,911	-1,374	1,446,758	1,456,233	9,475
2008	84,825	84,831	6	227,513	227,337	-176	1,862,179	1,864,266	2,087
2009	92,096	92,118	22	246,798	246,896	98	2,237,162	2,251,096	13,934
2010	96,514	96,544	30	257,650	257,750	100	2,392,245	2,402,207	9,962
2011	99,938	99,955	17	269,366	269,356	-10	2,578,584	2,582,602	4,018
2012	101,208	101,310	102	238,491	266,992	28,501	6,535	2,701,160	2,694,625
2013	33,992	100,874	67,822	74,547	232,302	157,755	1,368	4,224	2,856
2014	-	20,108	20,108	-	49,044	49,044	-	135	135
Total	900,913	989,121	88,208	2,374,234	2,604,936	230,272	13,876,626	16,613,635	2,737,009

* As posted in KCI website (<https://www.kci.go.kr>) on 2013/05/30 (data collection date) and 2014/04/06.

There are three dipping points in Figure 1 that data latency cannot adequately explain: citation count drop from 552 in 2005 to 524 in 2006, publication count drop from 269 in 2009 to 245 in 2010, and decrease in the number of authors from 104 in 2005 to 99 in 2006. The dip in author count in 2006 coincides with the new faculty hire counts, which decreased from 8 in 2005 to 1 in 2006. Table 2 shows new faculty hire count by year, which closely follows the changes in author count until 2009. The similarity in author and new faculty count patterns is not surprising since new faculty members increase the size of the author pool. It is not clear, however, why the author count decreased instead of staying more or less the same in 2006. One possible explanation is that the tendency of faculty members nearing retirement to publish less becomes more evident when not offset by the introduction of “new” authors. The tapering off of author count in 2009 may also be affected by the same tendency that gets exacerbated as the retirement year draws near. The publication count drop in 2010 is considered in a similar vein. We hypothesize that the sharp increase of publication count in 2009 (35 papers), followed by a large increase of tenured faculty count (15 professors) and a publication count drop (24 papers) in 2010, may reflect the “finish line burst” of junior faculty members to publish more for tenure evaluation and the “post-finish pace” of newly tenured faculty to wind down the publication activities to a more leisurely pace.

〈Table 2〉 Faculty counts by year

	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010
aucnt ¹	79	88	90	98	104	99	102	115	114	111
newf ²	2	4	7	9	8	1	4	7	9	9
oldf ³	29	32	35	35	38	41	44	50	55	70

¹ aucnt = number of unique authors (i.e., faculty who published)

² newf = number of new faculty hires

³ oldf = estimated number of tenured faculty (regardless of publication)

Examination of bibliometric counts by year revealed generally increasing trends in productivity and impact of KLIS research as a whole. To assess the productivity of an individual KLIS researcher or the average impact of a KLIS paper, we need to look at per capita statistics. When computing per capita statistics in bibliometrics, one must be careful in dealing with co-authorships. Specifically, the average impact of a paper should be computed using unique counts (i.e., number of unique citations), while the productivity and impact of an author must be estimated with duplicate counts (i.e., number of duplicate publications and citations) as formalized in the equations below. Equation 1 estimates the average impact of a paper, $aim(p)$, by dividing the sum of the citations each paper receives, $ccnt(p)$, by the number of papers ($pcnt$). Equation 2, which averages the sum

of the citations each author receives to compute the average impact of an author, $aim(au)$, counts the citations a paper receives as many times as the number of authors for that paper. Equation 3 computes the average productivity of an author, $apr(au)$, in a similar manner by averaging the sum of the papers each author publishes, thus counting co-authored papers multiple times to arrive at the numerator.

$$aim(p) = \frac{1}{pcnt} \sum_{i=1}^{pcnt} ccnt(p_i) \quad (1)$$

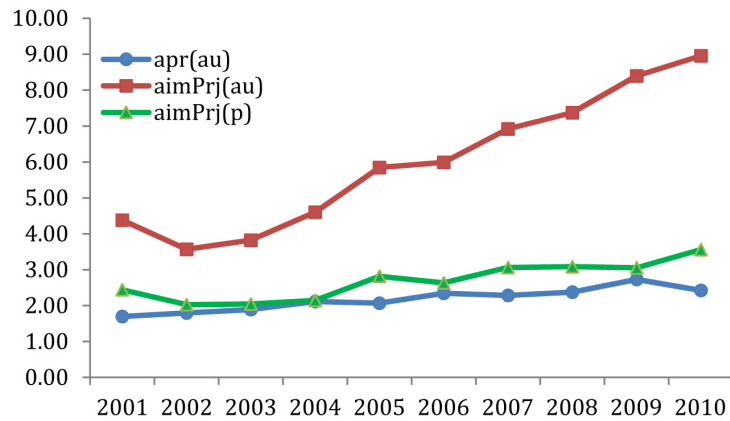
$$aim(au) = \frac{1}{aucnt} \sum_{i=1}^{aucnt} ccnt(au_i) \quad (2)$$

$$apr(au) = \frac{1}{aucnt} \sum_{i=1}^{aucnt} pcnt(au_i) \quad (3)$$

Table 3 displays above three measures along with actual and projected counts. Author productivity, $apr(au)$, shows an increase from 2.07 in 2005 to 2.34 in 2006 in contrast to the author count decrease in 2006, which is likely an effect of the single new faculty not diluting the average publication count rather than a reflection of the increased level of research productivity. The spike of $apr(au)$ in 2009 and tapering off in 2010 correspond to the “finish-line burst” and “post-finish pace” pattern observed earlier. We should note that $apr(au)$ represents the average productivity of authors who published, which, in conjunction with author count, is a much more informative measure than $apr(au)$ computed for all potential authors, which is simply a fractional publication count. Author impact, $aim(au)$, computed as the mean citation count of authors who published, mimics the temporal pattern of publication impact, $aim(p)$, albeit with a steeper slope (Figure 3). Since $aim(au)$ approximates $apr(au)$ times $aim(p)$,⁶⁾ it would be better to examine $aim(p)$ than to wade through the compound effect in $aim(au)$. The fact that $aim(p)$ plot is almost a mirror image of $apr(au)$ implies an inverse relationship between author productivity and publication quality, where the push to publish can adversely affect the quality of publication. For instance, the decrease of $aim(p)$ from 3.09 in 2008 to 3.05 in 2009, along with the $apr(au)$ increase from 2.37 to 2.73 in the same period, suggest that the “finish-line burst” effort was more about the quantity of publication than the quality. The $aim(p)$ drop in 2006 may be attributed the fewer new faculty hires, whose first papers in their job are cited more than existing faculty publications ($aim(p_{newfac})$ of 3.74 vs. $aim(p)$ of 2.19).

6) $aim(au) = ccnt2/aucnt = (ccnt + ccnt_{dup})/aucnt$

$aim(p) * apr(au) = (ccnt/pcnt) * (pcnt2/aucnt) = (ccnt/pcnt) * (pcnt + pcnt_{dup})/aucnt = (ccnt + pcnt_{dup})/aucnt$



〈Figure 3〉 Per capita statistics by year

〈Table 3〉 Publication, citation, author counts (total & per capita) by year

	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	Total
pcnt ¹	127	149	158	193	196	199	216	234	269	245	1986
pcnt2 ²	134	158	170	207	215	232	233	273	311	269	2202
ccnt ³	310	302	323	414	552	524	661	560	447	257	4350
ccnt2 ⁴	346	314	344	451	608	593	706	658	521	294	4835
auct ⁵	79	88	90	98	104	99	102	115	114	111	163
ccnt _{prj} ⁶								722	821	872	5501
ccnt2 _{prj} ⁷								848	957	998	6165
apr(au) ⁸	1.70	1.80	1.89	2.11	2.07	2.34	2.28	2.37	2.73	2.42	13.51
apr(au) ⁹	0.81	0.96	1.03	1.25	1.30	1.41	1.41	1.65	1.88	1.63	13.35
aim(au) ¹⁰	4.38	3.57	3.82	4.60	5.85	5.99	6.92	5.72	4.57	2.65	29.66
aim _{prj} (au) ¹¹								7.38	8.39	8.99	37.82
aim(p) ¹²	2.44	2.03	2.04	2.15	2.82	2.63	3.06	2.39	1.66	1.05	2.19
aim _{prj} (p) ¹³								3.09	3.05	3.56	2.77
aim(p _{newfac}) ¹⁴	2.00	6.00	3.50	3.44	6.00	4.00	5.33	4.17	1.20	1.80	3.74

¹ pcnt = number of unique publications² pcnt2 = number of publications (1 count for each co-author)³ ccnt = number of unique citations⁴ ccnt2 = number of citations (duplicate counts for co-authors)⁵ auct = number of unique authors (i.e., faculty who published)⁶ ccnt_{prj} = projected number of unique citations⁷ ccnt2_{prj} = projected number of citations (duplicate counts for co-authors)⁸ apr(au) = average productivity of an author (pcnt2/auct) for published authors⁹ apr(au)' = average productivity of an author (pcnt2/auct') for all authors (auct'=165)¹⁰ aim(au) = average impact of an author (ccnt2/auct)¹¹ aim_{prj}(au) = projected average impact of an author (ccnt2_{prj}/auct)¹² aim(p) = average impact of a paper (ccnt/pcnt)¹³ aim_{prj}(p) = projected average impact of a paper (ccnt_{prj}/pcnt)¹⁴ aim(p_{newfac}) = average impact of a paper published by new faculty hires

4.2 Popularity, Productivity, and Impact by Subject Areas

4.2.1 Popularity

As we reported in Yang et al. (submitted), *Information Science* was the most popular, as 74.2% (121 out of 163) of faculty authors have published at least one paper (Figure 4). We also compared the unique number of authors (i.e., *aucnt*) and the average number of *aucnt* per year (i.e., *avg_aucnt*) between 2001-2005 and 2006-2010 (Table 4) along with the *aucnt* plotted by year (Figure 5). In comparison with the first five-year periods, *Library Structure* had the largest increase (89%, 17 authors) in the number of authors (*aucnt*), followed by *Record Management* (85%, 11 authors), *Library & Information Services* (58%, 30 authors), and *Foundations of LIS* (47%, 22 authors). *Record Management* and *Foundations of LIS*, however, show many fluctuations with rather flat slopes in the *aucnt* by year graph (Figure 5). In regards to the *avg_aucnt*, *Library & Information Services* and *Library Structure* doubled their author counts in the second five years, and *Management and Administration* had a 50% increase. The increases of *avg_aucnt* in *Publishing* and *Library Building & Facilities* were not meaningful due to their small sample sizes.

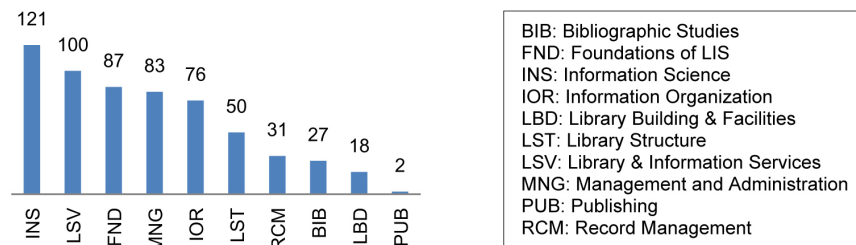
〈Table 4〉 Author statistics by subject areas

Subject Areas	aucnt ¹					avg_aucnt ²			
	'01-'05	'06-'10	Δ (%)	∩ (%) ³	All years	'01-'05	'06-'10	Δ (%)	All years
BIB	24	24	0 (0%)	21 (78%)	27	15.2	15.6	0.4 (3%)	15.4
FND	47	69	22 (47%)	29 (33%)	87	16.2	21.0	4.8 (30%)	18.6
INS	88	90	2 (2%)	57 (47%)	121	40.2	41.6	1.4 (3%)	40.9
IOR	46	56	10 (22%)	26 (34%)	76	19.2	22.4	3.2 (17%)	20.8
LBD	9	13	4 (44%)	4 (22%)	18	1.8	3.0	1.2 (67%)	2.4
LST	19	36	17 (89%)	5 (10%)	50	5.0	10.8	5.8 (116%)	7.9
LSV	52	82	30 (58%)	34 (34%)	100	16.4	34.4	18.0 (110%)	25.4
MNG	47	64	17 (36%)	28 (34%)	83	16.0	24.0	8.0 (50%)	20.0
PUB	1	1	0 (0%)	0 (0%)	2	0.2	0.4	0.2 (100%)	0.3
RCM	13	24	11 (85%)	6 (19%)	31	6.2	8.2	2.0 (32%)	7.2
Total	141	150	9 (6%)	128 (79%)	163	136.4	181.4	45.0 (33%)	158.9

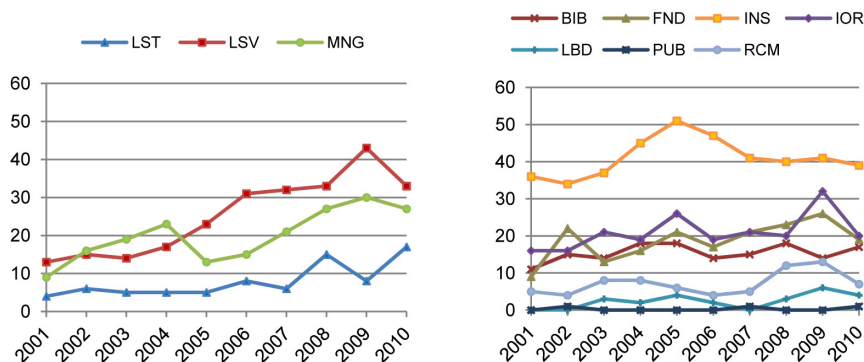
¹ aucnt = number of unique authors (i.e., faculty who published)

² avg_aucnt = average aucnt per year

³ ∩ = number of unique authors who published in 2001-2005 and 2006-2010 (i.e., overlap)



〈Figure 4〉 Number of authors in subject areas



〈Figure 5〉 Number of authors in subject areas by year

Unique and average author counts in five-year periods and author count by year plots, when viewed in tandem, point to the rising popularities of *Management and Administration*, *Library & Information Services*, and *Library Structure* that are distinct from mostly stable popularity patterns of the other subject areas. Such trends reflect the growing interests in the research areas that cover the service and organizational aspects of LIS in Korea. The spikes in author count, *Information Science* and *Information Organization* in 2005 and *Library & Information Services* and *Information Organization* in 2009, coincide with increases in non-tenured faculty authors for those years (Table 5). As speculated in the prior section, the “finish line burst” of *Library & Information Services* in 2009 might be followed by a large increase (from 29 to 39) in the number of tenured faculty in 2010.

The overlap column ($\cap$ (%)) in Table 4 shows overlaps between the number unique authors who published in 2001-2005 and 2006-2010. *Bibliographic Studies* had 78% overlap between two time frames, reflecting that it may be a well-established research area with a core set of authoritative authors. *Bibliographic Studies* having the highest average author productivity of all

subject areas (Table 6) bespeaks for the former. In fact, subject areas with high author overlap tend to have high author productivity as evidenced by *Information Science* (47% overlap and $apr(au)$ of 5.07), *Information Organization* (34% and 3.78), *Management and Administration* (34% and 3.14), and *Foundations in LIS* (33% and 2.63). *Library & Information Services* (34% and 1.52) is an exception, whose author productivity may have been unduly influenced by the “finish line burst” and “post-finish pacing” phenomena observed in 2009 and 2010.

<Table 5> Author counts by year and faculty group

	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010
aucnt ¹	79	88	90	98	104	99	102	115	114	111
newf ²	2	4	7	9	8	1	4	7	9	9
aucnt(midf) ³										
Information Science	30	30	31	37	45	40	36	36	38	35
Information Organization	13	14	18	18	21	16	16	17	25	18
Library & Information Services	10	10	10	13	16	27	27	26	37	29
oldf ⁴										
Information Science	14	16	17	17	19	20	23	28	31	42
Information Organization	9	10	11	11	12	12	14	18	21	24
Library & Information Services	13	14	16	16	18	19	22	26	29	39

¹ aucnt = number of unique authors (i.e., faculty who published)

² newf = number of new faculty hires

³ aucnt(midf) = estimated number of non-tenured faculty authors who published

⁴ oldf = estimated number of tenured faculty (regardless of publication)

4.2.2 Productivity

Of the 1,986 LIS papers published between 2001 and 2010, over a quarter of the papers (27.6%) were published in the subject area of *Information Science*, followed by a little over one eighth of the papers in the areas of *Bibliographic Studies* (14.3%), *Library & Information Services* (13.8%), and *Information Organization* (13.0%) (Table 6). Based on the publication counts in the five-year time periods, *Information Science* was the most productive area with 251 papers in the first five-year period, followed by *Bibliographic Studies* (127). In the second five-year period, the most notable change in productivity by publication count occurred in *Library & Information Services* (190), which jumped from the 6th place to the 2nd place. Other minor ranking shifts of note were caused by *Management and Administration* (145) rising above *Information Organization* (140) and *Foundations of LIS* (119), and *Record Management* (47) falling below *Library Structure* (49).

We further measured the average productivity of an author ($apr(au)$) by subject areas, considering

the number of faculty authors and the number of publications. *Bibliographic Studies* was the most productive area (10.67 papers), followed by *Information Science* (5.07 papers). Changes in subject area rankings by *apr(au)* over the two five-year time periods were minor. *Record Management* and *Foundations of LIS* falling from 3rd and 5th to 6th and 8th rank, which affected most of the ranking changes, were caused by small differences in *apr(au)* (3 to 2.25 and 1.98 to 1.97).

〈Table 6〉 Publication and author counts by subject areas

Subject	pcnt ¹				aucnt ²	apr(au) ³				
	'01-'05	'06-'10	Δ (%)	All years		'01-'05	'06-'10	Δ (%)	All years	(SD)
BIB	127	157	30 (24%)	284	27	5.29	6.71	1.42 (27%)	10.67	(9.50)
FND	89	119	30 (34%)	208	87	1.98	1.97	-0.01 (0%)	2.63	(2.25)
INS	251	297	46 (18%)	548	121	3.19	3.70	0.51 (16%)	5.07	(5.20)
IOR	118	140	22 (19%)	258	76	2.78	2.84	0.06 (2%)	3.78	(4.51)
LBD	10	17	7 (70%)	27	18	1.33	1.54	0.21 (15%)	1.78	(1.20)
LST	23	49	26 (113%)	72	50	1.37	1.83	0.46 (34%)	1.84	(1.60)
LSV	83	190	107 (129%)	273	100	1.67	2.63	0.96 (57%)	3.03	(2.52)
MNG	87	145	58 (67%)	232	83	1.91	2.67	0.76 (40%)	3.14	(3.34)
PUB	1	2	1 (100%)	3	2	1.00	2.00	1.00 (100%)	1.50	(0.71)
RCM	34	47	13 (38%)	81	31	3.00	2.25	-0.75 (-25%)	3.00	(3.04)
Total	823	1163	340 (41%)	1986	163	6.27	8.79	2.52 (40%)	13.51	(10.58)

BIB: Bibliographic Studies

FND: Foundations of LIS

INS: Information Science

IOR: Information Organization

LBD: Library Building & Facilities

LST: Library Structure

LSV: Library & Information Services

MNG: Management and Administration

PUB: Publishing

RCM: Record Management

¹ pcnt = number of unique publications

² aucnt = number of unique authors (i.e., faculty who published)

³ apr(au) = average productivity of an author (pcnt2/aucnt)

Table 7 shows rankings of subject areas. *Information Science* and *Bibliographic Studies* were the most productive subject areas, and given that high author overlap ($\cap$ %) and small productivity changes over time (Δ %), such areas appear to be the most stable/established subject areas. *Information Science* and *Bibliographic Studies* differed in popularity, that is, *Bibliographic Studies* had a smaller number of authors, showing the high productivity of authors in the *Bibliographic Studies*.

The difference between overall productivity, as measured by *pcnt*, and per capita productivity, as measured by *apr(au)*, was relatively minor in terms of ranking. *Information Science* and *Bibliographic Studies* swapping ranks at top two positions, *Foundations of LIS* and *Record Management* swapping ranks at 6th and 7th positions, and *Library & Information Services* going from 3rd to 5th position account for all the differences between rankings by *pcnt* and *apr(au)*.

The similarity between *pcnt* and *apr(au)* rankings implies that subject areas with higher author productivity (i.e., *apr(au)*) tend to be more popular (and vice versa) when the magnitude of *apr(au)* differences are small since *pcnt* approximates *apr(au)* times *aucnt* (i.e., popularity). Another noteworthy pattern in Table 8 is the similarity between rankings by author overlap ($\cap\%$) and *apr(au)*, which differ only by pairwise rank swaps in 4th and 5th, 6th and 7th, and 8th and 9th positions. Author overlap, in addition to gauging the maturity of the subject area, may then be related to author productivity.

<Table 7> Subject area rankings by author and publication counts⁷⁾

By Rank	aucnt ¹		pcnt ²			apr(au) ³				
	All years	$\cap\%$ ⁴	'01-'05	'06-'10	$\Delta\%$	All years	'01-'05	'06-'10	$\Delta\%$	All years
1	INS	BIB	INS	INS	LSV	INS	BIB	BIB	PUB	BIB
2	LSV	INS	BIB	LSV	PUB	BIB	INS	INS	LSV	INS
3	FND	IOR	IOR	BIB	LST	LSV	RCM	IOR	MNG	IOR
4	MNG	LSV	FND	MNG	LBD	IOR	IOR	MNG	LST	MNG
5	IOR	MNG	MNG	IOR	MNG	MNG	FND	LSV	BIB	LSV
6	LST	FND	LSV	FND	RCM	FND	MNG	RCM	INS	RCM
7	RCM	RCM	RCM	LST	FND	RCM	LSV	PUB	LBD	FND
8	BIB	LBD	LST	RCM	BIB	LST	LST	FND	IOR	LST
9	LBD	LST	LBD	LBD	IOR	LBD	LBD	LST	FND	LBD
10	PUB	PUB	PUB	PUB	INS	PUB	PUB	LBD	RCM	PUB

BIB: Bibliographic Studies

FND: Foundations of LIS

INS: Information Science

IOR: Information Organization

LBD: Library Building & Facilities

LST: Library Structure

LSV: Library & Information Services

MNG: Management and Administration

PUB: Publishing

RCM: Record Management

¹ aucnt = number of unique authors (i.e., faculty who published)

² pcnt = number of unique publications

³ apr(au) = average productivity of an author (pcnt2/aucnt)

⁴ $\cap\%$ = percent of unique authors who published in 2001-2005 and 2006-2010 (i.e., overlap)

Based on the analysis presented in this section, we infer that popularity and productivity of research area are weakly related in general, while maturity and productivity are strongly related facets of research. In other words, popular research areas that attract more authors tend to become productive over time, but a mature (i.e., established) research area, which is typically populated with a stable set of productive authors, will be productive regardless of its popularity. *Bibliographic Studies* exemplifies a mature subject area with a small but highly productive author pool.

7) Kendall's tau correlation coefficients were 0.55 for *aucnt* and *pcnt*, 0.38 for *aucnt* and *apr(au)*, 0.82 for $\cap\%$ and *pcnt*, 0.82 for $\cap\%$ and *apr(au)* and 0.82 for *pcnt* and *apr(au)*.

4.2.3 Impact

Information Science was the most frequently cited area (1,306 times), followed by *Library & Information Services* (673). When ranked by projected citation counts, however, *Foundations of LIS* with 740 projected citations (562 in KCI + 178 projected) rises above *Management and Administration* with 705 (566 in KCI + 139 projected). The 178 projected count of *Foundations of LIS* is based on the number of 2011 articles citing papers in that area (19 articles citing papers published in 2008, 33 citing 2009 papers, 31 citing 2010 papers). The 139 projected count of *Management and Administration* is the result of fewer 2011 articles citing papers in that area (22 citing 2008 papers, 21 citing 2009 papers, 25 citing 2010 papers), especially those published in 2009 and 2010.

〈Table 8〉 Citation counts by subject areas and 5-year periods

Subject	pcnt ¹	ccnt _{prj} ²				aim _{prj} (p) ³				
	All years	'01-'05	'06-'10	Δ (%)	All years	'01-'05	'06-'10	Δ (%)	All years	(SD)
BIB	284	171	324	153 (89%)	495	1.35	2.06	0.72 (53%)	1.74	(2.53)
FND	208	244	496	252 (103%)	740	2.74	4.17	1.43 (52%)	3.56	(4.36)
INS	548	629	962	333 (53%)	1591	2.51	3.24	0.73 (29%)	2.90	(3.24)
IOR	258	202	449	247 (122%)	651	1.71	3.21	1.50 (87%)	2.52	(3.28)
LBD	27	28	54	26 (93%)	82	2.80	3.18	0.38 (13%)	3.04	(2.59)
LST	72	62	135	73 (118%)	197	2.70	2.76	0.06 (2%)	2.74	(2.76)
LSV	273	262	533	271 (103%)	795	3.16	2.81	-0.35 (-11%)	2.91	(2.96)
MNG	232	250	455	205 (82%)	705	2.87	3.14	0.26 (9%)	3.04	(3.58)
PUB	3	3	6	3 (100%)	9	3.00	3.00	0.00 (0%)	3.00	(2.00)
RCM	81	50	186	136 (272%)	236	1.47	3.96	2.49 (169%)	2.91	(3.60)
Total	1986	1901	3600	1699 (89%)	5501	2.31	3.10	0.79 (34%)	2.77	(2.53)

BIB: Bibliographic Studies

FND: Foundations of LIS

INS: Information Science

IOR: Information Organization

LBD: Library Building & Facilities

LST: Library Structure

LSV: Library & Information Services

MNG: Management and Administration

PUB: Publishing

RCM: Record Management

¹ pcnt = number of unique publications

² ccnt_{prj} = projected number of unique citations

³ aim_{prj}(p) = projected average impact of a paper (ccnt_{prj}/pcnt)

Citation counts collected from KCI suffer from the reference data inclusion lag as well as citation latency effect (Table 1, section 4.1), which can not only misrepresent the overall citation trend but also induce erroneous subject-specific analysis. For instance, recent *Foundations of LIS* papers, which are cited many more times by papers published in 2011 than *Management and Administration* papers and thus likely to have more actual citations, show less citations in the

study data due to the data inclusion lag inherent in KCI.

〈Table 9〉 Comparison of non-projected and projected citation counts

Subject	aim(p) ¹				aim _{prj} (p) ²			
	'01-'05	'06-'10	Δ (%)	All years	'01-'05	'06-'10	Δ (%)	All years
BIB	1.35	1.35	0.00 (0%)	1.35	1.35	2.06	0.72 (53%)	1.74
FND	2.74	2.67	-0.07 (-3%)	2.70	2.74	4.17	1.43 (52%)	3.56
INS	2.51	2.28	-0.23 (-9%)	2.38	2.51	3.24	0.73 (29%)	2.90
IOR	1.71	1.93	0.22 (13%)	1.83	1.71	3.21	1.50 (87%)	2.52
LBD	2.80	1.94	-0.86 (-31%)	2.26	2.80	3.18	0.38 (13%)	3.04
LST	2.70	1.98	-0.72 (-27%)	2.21	2.70	2.76	0.06 (2%)	2.74
LSV	3.16	2.16	-0.99 (-31%)	2.47	3.16	2.81	-0.35 (-11%)	2.91
MNG	2.87	2.18	-0.69 (-24%)	2.44	2.87	3.14	0.26 (9%)	3.04
PUB	3.00	3.00	0.00 (0%)	3.00	3.00	3.00	0.00 (0%)	3.00
RCM	1.47	2.32	0.85 (58%)	1.96	1.47	3.96	2.49 (169%)	2.91
Total	2.31	2.11	-0.20 (89%)	2.19	2.31	3.10	0.79 (34%)	2.77

¹ aim(p) = average impact of a paper (ccnt_{prj}/pcnt)

² aim_{prj}(p) = projected average impact of a paper (ccnt_{prj}/pcnt)

〈Table 10〉 Subject area rankings by publication and citation counts⁸⁾

By	pcnt ¹	ccnt _{prj} ²				aim _{prj} (p) ³				aim(p) ⁴
Rank	All years	'01-'05	'06-'10	Δ%	All years	'01-'05	'06-'10	Δ%	All years	All years
1	INS	INS	INS	RCM	INS	LSV	FND	RCM	FND	PUB
2	BIB	LSV	LSV	IOR	LSV	PUB	RCM	IOR	MNG	FND
3	LSV	MNG	FND	LST	FND	MNG	INS	BIB	LBD	LSV
4	IOR	FND	MNG	LSV	MNG	LBD	IOR	FND	PUB	MNG
5	MNG	IOR	IOR	FND	IOR	FND	LBD	INS	LSV	INS
6	FND	BIB	BIB	PUB	BIB	LST	MNG	LBD	RCM	LBD
7	RCM	LST	RCM	LBD	RCM	INS	PUB	MNG	INS	LST
8	LST	RCM	LST	BIB	LST	IOR	LSV	LST	LST	RCM
9	LBD	LBD	LBD	MNG	LBD	RCM	LST	PUB	IOR	IOR
10	PUB	PUB	PUB	INS	PUB	BIB	BIB	LSV	BIB	BIB

BIB: Bibliographic Studies

FND: Foundations of LIS

INS: Information Science

IOR: Information Organization

LBD: Library Building & Facilities

LST: Library Structure

LSV: Library & Information Services

MNG: Management and Administration

PUB: Publishing

RCM: Record Management

¹ pcnt = number of unique publications

² ccnt_{prj} = projected number of unique citations

³ aim_{prj}(p) = projected average impact of a paper (ccnt_{prj}/pcnt)

⁴ aim(p) = average impact of a paper (ccnt/pcnt)

8) Kendall's tau correlation coefficients were 0.73 for *pcnt* and *ccnt_{prj}*, -0.24 for *pcnt* and *aim_{prj}(p)*, and 0.02 for *ccnt_{prj}* and *aim_{prj}(p)*.

In terms of the average impact of a paper, subject area ranking by non-projected impact, $aim(p)$, and by projected impact, $aim_{prj}(p)$, differs considerably (Table 10). According to $aim(p)$, papers in *Publishing* were the most frequently cited with 3 citations per paper, whereas *Foundations of LIS* papers were the most highly cited (3.56 citations per paper) by $aim_{prj}(p)$. The difference between the non-projected citation count based on incomplete data and projected citation count that estimates the complete data is accentuated when research impact changes over time is considered. Without the projected impact showing increases in the second five year period for all subject areas except *Library & Information Services*, one may erroneously conclude that impact of research in most subject areas to be declining (Table 9).

The 11% decrease of $aim_{prj}(p)$ in 2006-2010 for *Library & Information Services* is the result of 129% (107 papers) increase in publications outpacing the rate of citation increase (103%, 271 citations). Consequently, *Library & Information Services* ranked only 5th by overall average impact ($aim_{prj}(p)$) despite having the second highest citation count ($ccnt_{prj}$) and the top 2001-2005 average impact of all subject areas (Table 10). In contrast, *Foundations of LIS*, ranked 3rd by citation count, took the top spot by overall average impact due to the infusion of high impact papers in the second five-year period, which consisted of 119 papers cited 496 times with 34% increase in publications (30 more papers) and 103% increase in citations (252 more citations) over the first five years.

Interestingly, two most productive subject areas of *Information Science* and *Bibliographic Studies* were ranked low in terms of average impact (Table 10). *Information Science* was ranked 5th in average impact per paper despite receiving the highest total citations for the past ten years, which might be caused by the large $pcnt$ of 548. *Bibliographic Studies* had the smallest average impact of all subject areas even though it had high productivity, describing the subject area with prolific authorship but “narrow” impact patterns. *Bibliographic Studies* research in Korea, which involves enumeration and description of old texts, is a type of field that calls for solitary investigations that are artifact-driven. The field is dominated by a few prominent scholars with largely distinct areas of expertise, whose works are based on diverse sets of artifacts. Consequently, researchers in *Bibliographic Studies*, with a “narrow” pool of prior research to cite from and the penchant for engaging in investigation of new artifacts rather than extending existing work, tend to cite less frequently than authors in other subject areas.

In general, subject area rankings by $pcnt$ and $ccnt_{prj}$ appear similar, while the rankings by $pcnt$ and $aim_{prj}(p)$, and by $ccnt_{prj}$ and $aim_{prj}(p)$ show little similarity (Table 10). Kendall's tau coefficient of -0.24 for $pcnt$ and $aim_{prj}(p)$ rankings may reflect the general tendency of citation

to fall below the publication rate⁹⁾ as well as the atypical citation behavior of *Bibliographic Studies* researchers. In other words, productivity influences the overall impact (i.e., the more published, the more cited) to a degree but could have an adverse effect on the average impact of a publication, with a caveat that subject-specific patterns may deviate from the general.

4.3 Differences in Bibliometric Patterns across Subject Areas

In preceding sections, we observed repeated evidences of subject-specific patterns reflected in bibliometric indicators. Figure 6, which display the bibliometric indicators of research popularity, productivity, and impact by year and subject areas, illustrate the subject-specific differences discussed above.

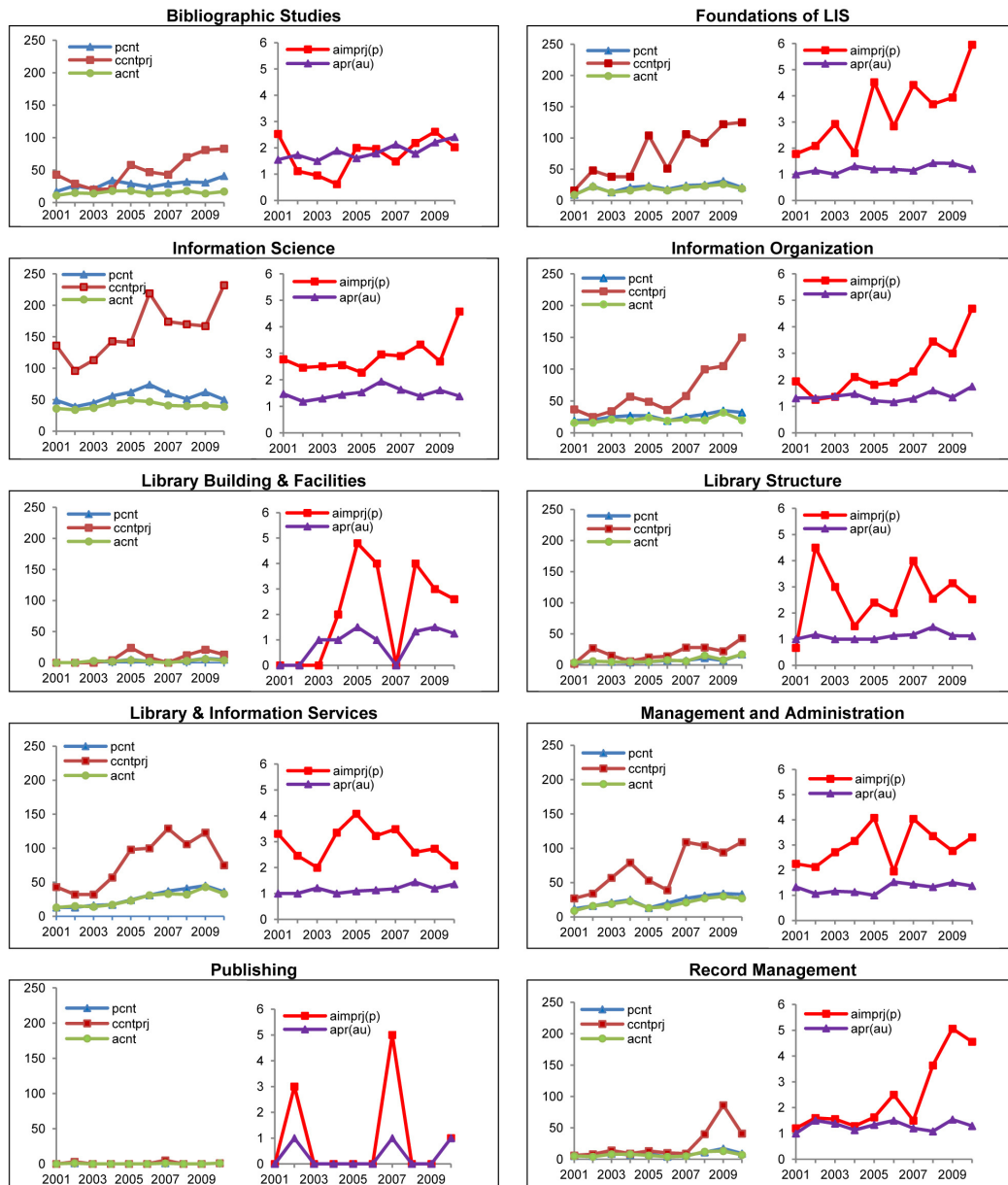
Analysis of author-based measures revealed that rising popularities of *Management and Administration*, *Library & Information Services*, and *Library Structure* were distinct from mostly stable popularity patterns of the other subject areas and that there were also subject-specific fluctuations in research popularity likely related to the maturation of faculty pool in respective subject areas.

Analysis of publication-based measures in conjunction with author-based measures showed that the maturity of research, which differs across subject areas, was related to the average author productivity, as evidenced by the bibliometric patterns exhibited in two most established subject areas of *Bibliographic Studies* and *Information Science*.

Research impact, measured by citation-based measures, also was seen to differ across subject areas, especially for *Bibliographic Studies* and *Information Science*, whose average impact of a publication differed significantly from other subject areas. Furthermore, subject-specific patterns, such as those in *Bibliographic Studies*, were shown to deviate greatly from the general pattern of publication and citation.

9) If $aim_{prj}(p)$ were smaller for Y than X, then $ccnt(Y)/ccnt(X) < pcnt(Y)/pcnt(X)$.

$$\frac{ccnt(Y)}{pcnt(Y)} < \frac{ccnt(X)}{pcnt(X)} \Rightarrow \frac{ccnt(Y)}{ccnt(X)} < \frac{pcnt(Y)}{pcnt(X)}$$



<Figure 6> Overview of bibliometric patterns by subject area

5. Discussions and Conclusions

Evaluation of research performance is a task riddled with challenges. Quantitative methods, while avoiding the pitfalls of non-standard criteria and inconsistent assessments, can lead to erroneous conclusions from faulty or incomplete data, misapplication of evaluation metrics, and misinterpretation of results. In our study, we projected citation counts to compensate for incomplete data and engaged in multi-faceted analysis of results while employing various measures for estimating research impact and productivity to arrive at a holistic view of research performance.

Careful examination of data revealed the pattern of citation latency and practice of data indexing lag that make citation-based bibliometric estimates suspect for recent years. Although the data indexing lag may be specific to KCI and citation latency may vary across disciplines and regions, it would be prudent to consider the state of any study data before committing to analysis and taking the numbers at face value. One should keep in mind, for example, that impact factors published by KCI may not be very robust since they rely heavily on recent citations, bulk of which KCI seems to lack.

Examination of per capita statistics reveals fluctuations in the average publication impact that were not apparent in the overall statistics. Such examinations highlight the importance of rigorous analysis and careful interpretation of bibliometric data. For instance, one should keep in mind the possibility that the low citation counts in later years as shown in Figure 1 reflects the latency effect of citations rather than the impact of publications. Since the study data includes citing articles only up to 2011, the appearance of decline in publication impact may be misleading.

In our investigation of whether multi-disciplinary fields such as library and information science warrant subject-specific assessments of research performance, we observed differences in bibliometric patterns across subject areas that argue against a uniform approach to research evaluation. Examination of author counts in subject areas by year revealed a growing popularity in research areas that cover the service and organizational aspects of Library and Information Science (LIS) in Korea, which is distinct from the mostly stable popularity patterns of other LIS subject areas. In contrast, well-established research areas such as *Bibliographic Studies* and *Information Science* were characterized by high levels of productivity by core sets of authors that remained stable over time. High productivity, however, was not necessarily associated with high impact, especially in some subject areas such as *Bibliographic Studies* where the citation rate was atypically low. Furthermore, the study showed that there were statistically significant differences in publication

and citation counts across subject areas, suggesting the need for subject-specific assessment of multidisciplinary research discipline such as LIS by observing the possible effect of sub-disciplinary culture on citing behaviors.

This study also highlighted the importance of rigorous/multi-faceted analysis that looks beyond the numbers for robust interpretation of bibliometric data by identifying and compensating for deficiencies in the data source, examining per capita as well as overall statistics, and considering various facets of research to interpret what the numbers reflect rather than merely taking them at face value as quantitative measures of research performance. Finding the 2-year reference inclusion lag of KCI to be problematic for assessing the impact of recent publications, the study devised a data projection method based on past citation patterns that can be useful for analyzing recent citation trends. The study also clarified how co-authored paper should be treated and formalized the computation of per capita statistics in bibliometric analysis by presenting formulas for the average impact of paper, the average impact of an author, and the average productivity of an author. Furthermore, the study engaged in a multi-faceted analysis that aimed at examining the conditions of and thereby understanding the causalities of quantitative outcomes. Our examination of pattern changes in 5-year time periods, author overlap, and subject area rankings by various measures, for instance, were instrumental in discovering that *Bibliographic Studies* in Korea is a well-established research area with a core set of authoritative authors with prolific authorship but narrow impact patterns.

Since this study relies on the publication and citation data of Korean LIS scholar's, whether the key finding of the study that subject-specific analysis is warranted for a multidisciplinary field can be generalized to other domains is an open question. On the other hand, how the study arrived at the finding, namely examining and compensating for data deficiencies, applying multi-faceted analysis with rigorous bibliometric measures, and investigating conditions of quantitative outcome to gain insights on its causality, could prove useful across domains and national boundaries.

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